

Technology Transfer Specialist Review *To be completed by the Technology Transfer Specialist after LOI is submitted*

Patent Filing Status

No Filings
 Provisional filing
 US and/or PCT filing

Will this funding assist in overcoming important patent concerns or rejections? Yes No

Additional comments:

Existing Research Agreements

Are there any CRADA, MTA, or other company sponsored research agreements related to the technology through the VA or university affiliate?

Yes No

If yes, provide details below

VA Rights and Ownership

Is DOR issued? Yes No

What is VA percent royalty share?

Ownership:

VA solely owned
 Jointly owned, VA lead
 Jointly owned, Affiliate lead
 Jointly owned, Affiliate lead but VA can take lead if VA awards this funding

Comments or any IMA concerns

IP Encumbrances

Are there any IP Encumbrances (including licenses, options, or other IP encumbrances/licenses to IP in MTAs and CRADAs – check with affiliate, too) that would limit licensing of the technology: Yes No

If yes, please describe below or on last page if additional space is needed:

Licensing Status

Licensed: Yes No

If yes: Exclusive Non-exclusive

Will licensee, or potential licensee provide matching funds: Yes No

If Licensed, what is the rationale/need to provide additional funding? Use additional space on final page if needed:

Conflicts

Are there any current or potential conflicts to consider relating to this proposal, including, but not limited to, investigator involvement with potential licensees?

Could conflicts be managed? Yes No

If yes, provide information below or on final page :

TTS Support *(attach additional comments on following page if more space need)*

Do you support this project: Yes No

Please provide any relevant commentary below

Commercial Relevance

Is the problem/solution relevant to commercialization?

Yes No *Describe why or why not below.*

TTS has reviewed the LOI with the Investigator

TTS Signature

TTS may use this space to provide additional comments if necessary